

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : William L. Tonar et al.  
For : ELECTROCHROMIC REARVIEW MIRROR ASSEMBLY  
INCORPORATING A DISPLAY/SIGNAL LIGHT

Mail Stop Patent Application  
Commissioner for Patents  
Post Office Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.97(b)

Pursuant to 37 CFR §§1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached Form PTO-1449. This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application.

Copies of the listed foreign patent documents and non-patent literature are attached. As the Office has waived the requirement under 37 CFR §1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication, copies of the listed U.S. patents are not included with the submission of attached Form PTO 1449. Applicants respectfully request that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitutes "prior art." If it should be determined that any of the listed documents do not constitutes "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Applicants : William L. Tonar et al.  
For : ELECTROCHROMIC REARVIEW MIRROR ASSEMBLY  
INCORPORATING A DISPLAY/SIGNAL LIGHT  
Page : 2

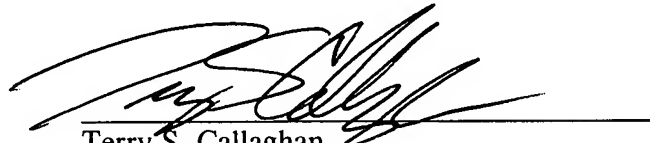
If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 16-2463.

Respectfully submitted,

WILLIAM L. TONAR ET AL.

By: Price, Heneveld, Cooper,  
DeWitt & Litton

2-12-2004  
Date

  
Terry S. Callaghan  
Registration No. 34 559  
695 Kenmoor, S.E.  
Post Office Box 2567  
Grand Rapids, MI 49501  
(616) 949-9610

TSC/rsw

<b>FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE</b> <b>(Rev. 2-32) PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b>  GEN10 P-394A	<b>SERIAL NO.</b>
	<b>APPLICANT(S)</b> William L. Tonar et al.	
	<b>FILING DATE</b>	<b>GROUP</b>

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPRO- PRIATE
	6 1 7 6 6 0 2	01/23/01	Pastrick et al.			
	6 1 7 5 1 6 4	01/16/01	O'Farrell et al.			
	6 1 6 6 8 4 8	12/26/00	Cammenga et al.			
	6 1 6 3 0 8 3	12/19/00	Kramer et al.			
	6 1 4 2 6 5 6	11/07/00	Kurth			
	6 1 1 1 6 8 4	08/29/00	Forgette et al.			
	D 4 3 0 0 8 8	08/29/00	Todd et al.			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLA- TION
						Y N
	2 3 2 6 4 8 9	12/23/98	GB			
	0 7 6 9 4 1 9	04/23/97	EPC			
	0 7 2 8 6 1 8	08/28/96	EPC			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
	1998 Ford Expedition Brochure, 24 pages, August 1997.
	Muth Advanced Technologies Smart Mirror Brochure (no date).

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)	ATTY. DOCKET NO.  GEN10 P-394A	SERIAL NO.
	APPLICANT(S) William L. Tonar et al.	
	FILING DATE	GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPRO- PRIATE
		6 1 0 6 1 2 1	08/22/00	Buckley et al.			
		D 4 2 9 2 0 2	08/08/00	Todd et al.			
		D 4 2 8 8 4 2	08/01/00	Todd et al.			
		6 0 9 3 9 7 6	07/25/00	Kramer et al.			
		D 4 2 8 3 7 3	07/18/00	Todd et al.			
		D 4 2 8 3 7 2	07/18/00	Todd et al.			
		6 0 8 6 2 2 9	07/11/00	Pastrick			
		D 4 2 7 1 2 8	06/27/00	Mathieu			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLA- TION
							Y N
		9 5 3 0 4 9 5	11/16/95	PCT			
		2 0 2 8 4 6 1	11/08/94	Canada			
		0 4 5 0 1 6 2	10/12/94	EPC			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL		
		Muth Advanced Technologies Signal Mirror Brochure (no date).
		International Body Engineering Conference material, "Dichoric Mirrors with Semi-active Covert Displays," John Roberts, 1993, pages 65--70.

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)	ATTY. DOCKET NO.  GEN10 P-394A	SERIAL NO.
APPLICANT(S) William L. Tonar et al.		
FILING DATE		GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPRO- PRIATE
	6 0 7 6 9 4 8	06/20/00	Bukosky et al.			
	D 4 2 6 5 0 7	06/13/00	Todd et al.			
	D 4 2 6 5 0 6	06/13/00	Todd et al.			
	D 4 2 5 4 6 6	05/23/00	Todd et al.			
	6 0 4 5 2 4 3	04/04/00	Muth et al.			
	6 0 2 0 9 8 7	02/2000	Baumann et al.			
	6 0 0 7 2 2 2	12/28/99	Thau			
	6 0 0 5 7 2 4	12/21/99	Todd			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLA- TION
						Y N
	56 1 4 3 4 1 6	11/09/81	Japan			X

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL		

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)	ATTY. DOCKET NO.  GEN10 P-394A	SERIAL NO.
	APPLICANT(S) William L. Tonar et al.	
	FILING DATE	GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPRO- PRIATE
	5 9 9 8 6 1 7	12/1999	Srinivasa et al.			
	5 9 5 9 3 6 7	09/28/99	O'Farrell et al.			
	5 9 2 9 7 8 6	07/1999	Schofield et al.			
	D 4 0 9 5 4 0	05/11/99	Muth			
	5 8 6 3 1 1 6	01/26/99	Pastrick et al.			
	5 8 2 5 5 2 7	10/20/98	Forgette et al.			
	5 8 1 8 6 2 5	10/06/98	Forgette et al.			
	5 7 8 8 3 5 7	08/04/98	Muth et al.			
	5 7 6 0 9 6 2	06/02/98	Schofield et al.			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLA- TION
						Y N

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)	ATTY. DOCKET NO.  GEN10 P-394A	SERIAL NO.
	APPLICANT(S) William L. Tonar et al.	
	FILING DATE	GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPRO- PRIATE
		D	3	9	4	8	3	3	06/02/98	Muth			
		5	7	2	4	1	8	7	03/03/98	Varaprasad et al.			
		5	6	6	9	7	0	5	09/23/97	Pastrick et al.			
		5	6	6	9	7	0	4	09/23/97	Pastrick			
		5	6	6	8	6	6	3	09/16/97	Varaprasad et al.			
		5	6	6	0	4	5	7	08/26/97	Lyons			
		5	6	1	9	3	7	5	04/08/97	Roberts			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLA- TION	
													Y	N

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL		

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)	ATTY. DOCKET NO.  GEN10 P-394A	SERIAL NO.
	APPLICANT(S) William L. Tonar et al.	
	FILING DATE	GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPRO- PRIATE
	5 6 1 9 3 7 4	04/08/97	Roberts			
	5 5 8 7 6 9 9	12/24/96	Faloon et al.			
	5 5 2 8 4 2 2	06/18/96	Roberts			
	5 5 0 4 4 7 8	04/02/96	Knapp			
	5 4 8 1 4 0 9	01/02/96	Roberts			
	5 4 4 6 5 7 6	08/1995	Lynam et al.			
	5 4 2 6 5 6 8	06/1995	Lamers et al.			
	D 3 6 3 9 2 0	11/07/95	Roberts et al.			
	5 4 1 6 3 1 3	05/16/95	Larson et al.			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLA- TION
						Y N

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL		

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)	ATTY. DOCKET NO.  GEN10 P-394A	SERIAL NO.
APPLICANT(S) William L. Tonar et al.		
FILING DATE		GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPRO- PRIATE
		5 3 6 1 1 9 0	11/01/94	Roberts et al.			
		5 3 5 5 2 8 4	10/11/94	Roberts			
		5 3 3 6 4 4 8	08/09/94	Byker			
		5 3 1 3 3 3 5	05/17/94	Gray et al.			
		5 2 8 5 0 6 0	02/08/94	Larson et al.			
		5 2 5 3 1 0 9	10/12/93	O'Farrell et al.			
		5 2 0 7 4 9 2	05/04/93	Roberts			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLA- TION
							Y N

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL		

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)	ATTY. DOCKET NO.  GEN10 P-394A	SERIAL NO.
APPLICANT(S) William L. Tonar et al.		
FILING DATE		GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPRO- PRIATE
	5 1 8 9 5 3 7	02/23/93	O'Farrell			
	5 1 3 6 4 1 9	08/04/92	Shabrang			
	5 1 1 5 3 4 6	05/19/92	Lynam			
	5 0 7 6 6 7 3	12/31/91	Lynam et al.			
	5 0 6 9 5 3 5	12/03/91	Baucke et al.			
	5 0 6 6 1 1 2	11/19/91	Lynam et al.			
	5 0 1 4 1 6 7	05/07/91	Roberts			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLA- TION
						Y N

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL		

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)	ATTY. DOCKET NO.  GEN10 P-394A	SERIAL NO.
	APPLICANT(S) William L. Tonar et al.	
	FILING DATE	GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPRO- PRIATE
		4 9 0 2 1 0 8	02/20/90	Byker			
		4 8 8 2 5 6 5	11/21/89	Gallmeyer			
		4 7 4 1 6 0 3	05/03/88	Miyagi et al.			
		4 6 3 0 9 0 4	12/23/86	Pastore			
		4 5 8 8 2 6 7	05/13/86	Pastore			
		3 2 8 0 7 0 1	10/25/66	Donnelly et al.			
		3 2 6 6 0 1 6	08/09/66	Maruyama et al.			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLA- TION
							Y N

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL		

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	